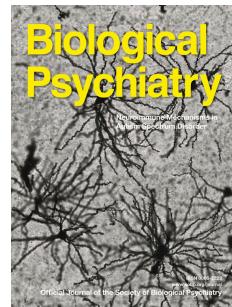


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## **Amygdala hyperactivity in PTSD: disentangling predisposing from consequential factors in a prospective longitudinal design**

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27

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32

33 **Abstract**

34 **Background:** Substantial inter-individual differences exist in the vulnerability to develop post-  
35 traumatic stress disorder (PTSD) symptoms following trauma exposure. Identification of  
36 neurocognitive risk markers for PTSD-symptoms could aid early assessment and identification  
37 of preventive intervention-targets for PTSD, particularly in high-risk professionals. Therefore,  
38 large prospective longitudinal studies with pre-trauma measurements are essential to  
39 disentangle whether previously observed neurobiological alterations in PTSD are a cause or  
40 consequence of trauma exposure or PTSD symptoms.

41 **Methods:** In police recruits (n=221) without current trauma symptoms but at high risk for  
42 trauma exposure, we employed functional magnetic resonance imaging (fMRI) to disentangle  
43 predictive and acquired neural markers of post-traumatic stress symptoms. Using an  
44 experimental paradigm, we investigated anticipatory threat responses and the switch into  
45 defensive action.

46 **Results:** Those recruits who showed relatively heightened dorsal amygdala responses and  
47 heightened amygdala-precuneus coupling during threat anticipation demonstrated relatively  
48 stronger increase in PTSD symptoms after trauma exposure. While the experience of  
49 traumatic events, independent of PTSD symptoms, was associated with increased lateral  
50 amygdala activation in response to the aversive stimulus (i.e. receiving an electrical shock).

51 **Conclusions:** This prospective longitudinal study shows a predictive role for dorsal amygdala  
52 responsivity during threat anticipation for the development of trauma symptoms, while  
53 lateral amygdala responding to aversive events after trauma may reflect a failure to regulate.  
54 Our findings not only inform neurobiological theories of PTSD risk and vulnerability but also  
55 provide a starting point for prediction and intervention studies.

56

57

58 **Introduction**

59 Many individuals experience a traumatic event at some point in life. Of those, approximately  
60 5-20 % develop post-traumatic stress disorder (PTSD), although these rates increase to ~35%  
61 in conflict-affected countries (1-4). Primary responders, such as police, experience  
62 particularly high trauma-exposure and are therefore especially at risk for developing PTSD.  
63 Despite selection and training, 34-62 % of police officers develop sub-syndromal PTSD in the  
64 line of duty, while 7-13 % develop full-blown PTSD (e.g. 5,6). Given the enormous personal  
65 and societal costs of PTSD symptoms (7), advance understanding of individual risk variation  
66 would be of great benefit, to aid early detection and allow targeted preventive interventions.  
67 However, current definitions of PTSD are not capturing the mechanistic origins of these  
68 individual differences and how they emerge from our neurobiology (8,9).

69 Early seminal longitudinal neuroimaging studies pointed out that neural circuits  
70 crucially involved in threat detection (e.g. 10-16) and regulation (e.g. 17,18), such as  
71 amygdala and prefrontal cortex show structural and functional alterations related to PTSD  
72 symptom development. Together these studies suggest that PTSD is associated with  
73 heightened anticipatory threat arousal and poor regulation of these arousal responses (for  
74 reviews see 19-23). However, because the number of well-powered longitudinal studies is  
75 still low, it is not always clear whether heightened arousal and poor regulation occur because  
76 of trauma exposure (or related PTSD symptoms). Or alternatively may rather present a  
77 predisposing risk factor. To dissociate acquired from predictive factors, sufficiently powered  
78 prospective longitudinal studies are necessary with assessments timed before and after  
79 trauma exposure occurs.

80 Initial studies pointed out that the amygdala, a region implicated in threat detection  
81 (24,25), shows stronger threat-reactivity in patients with PTSD versus controls (e.g.

21,22,26,27). Such exacerbated amygdala threat response is already present immediately after trauma and is predictive of subsequent PTSD symptoms. Namely, previous studies assessing individuals at emergency departments (28–30) demonstrated that hyper amygdala responding, or altered connectivity patterns, in response to threat could be a predisposing factor. In those studies, one cannot rule out that PTSD-relevant processes are a consequence of trauma exposure and dissociating acquired from predictive factors requires assessments timed before and after trauma occurs. In line with these observations, prospective neuroimaging studies have provided evidence that hyper amygdala responding to threat or threat anticipation may be a predisposing factor. Indeed, increased amygdala responding to threat is already present in individuals that later develop PTSD symptoms, before any trauma exposure occurred (10,13,16,31, but see 32). Additionally, salience network connectivity-changes during rest, were identified as a potential marker for trauma-related symptom development (33). It is important to note that prospective neuroimaging studies are methodologically challenging and are therefore scarce to date (34). They also typically have sample sizes that may not be sufficiently powered to detect inter-individual differences (35) in underlying neurobiology. Moreover, these studies are mostly performed with specific groups of individuals, such as military personnel, that will experience excessive trauma. In addition, they experience specific life-threatening and combat-related violence. To validate and generalize previous findings, it is crucial to replicate and extend these findings in other populations and traumatic events. Finally, previous studies (10,13,16,31) typically compare average responses in an experimental group to a control group. This approach does not allow for assessment of inter-individual differences on a continuum from strongly resilient to full-blown psychopathology (8,9,36,37).

105 Here, in a large cohort of police recruits (N=221), we prospectively investigated the  
106 neural threat circuitry underlying the early development of PTSD symptoms. Police recruits  
107 were tested at the start of their police training before being sent into field work for their first  
108 emergency aid duty (Baseline session) and again tested after this period (Follow-up session,  
109 ~16 months following Baseline), (see 38 for the protocol paper). Participants performed a  
110 well-established Go/NoGo Under Threat (GUNT) paradigm (39–41) while undergoing  
111 functional MRI. We opted for an active coping paradigm, unlike previous studies that  
112 exclusively measured BOLD response patterns in passive paradigms (e.g. response to faces).  
113 Such a paradigm allowed us to study potential alterations in threat processes involved in  
114 active threat coping beyond the amygdala, including the periaqueductal gray (PAG), a region  
115 related to freezing states and defensive actions (39–41). Measurements of blood-oxygen-  
116 level-dependent functional magnetic resonance imaging (BOLD-fMRI) during acute threat of  
117 electrical stimulation were taken during threat anticipation and subsequent defensive action.  
118 The PTSD Checklist (PCL-5) was administered during Baseline and Follow-up to measure the  
119 development of PTSD symptoms. We predicted, based on previous findings (10,13,16), that  
120 inter-individual differences in BOLD-fMRI response patterns in the neural threat circuitry,  
121 including the amygdala, during acute threat anticipation would predict later PTSD symptom  
122 development. Specifically, we expected a positive correlation between amygdala activation  
123 during baseline and subsequent PTSD symptom increase. We further investigate whether  
124 (de)activation patterns previously observed (40) during threat anticipation and switch to  
125 defensive actions, including the PAG, would predict later PTSD symptom development.  
126 Finally, we investigated acquired changes in these circuits follow trauma exposure.  
127  
128

129 **Materials and Methods**130 **Participants**

131 Participants were recruits from the Dutch Police Academy. 340 participants completed the  
132 Baseline assessment and 271 (79.7%) completed Follow-up. See **Supplement** for more details.

133 As we aimed to predict development of trauma-related symptoms, we included  
134 participants who experienced their core traumatic event between Baseline and Follow-up (N  
135 = 222; ,17,33,42,43), as assessed with a clinical interview Clinician-Administered PTSD scale  
136 (CAPS-5 44) and who did not have PTSD symptoms above the clinical cut-off at Baseline (PCL-  
137 5 total score >33; ,45), which led to the exclusion of one participant. The core traumatic  
138 events occurred in most cases in the context of police-work (86%) but could also involve work-  
139 unrelated, personal events (14%). The final sample was therefore n=221 (60 females, 161  
140 males; 18–45 years [M = 24, SD = 5]) and for each analysis the maximum available data were  
141 included. From the 221 there were 3 individuals with PTSD symptoms above the formal  
142 clinical cut-off at Follow-up (PCL-5 total score >33; ,45). However, taking all proposed  
143 prevalence criteria into account there are 12 individuals that met criteria for PTSD and 61  
144 individuals met criteria for sub-threshold PTSD (see **Supplement** for details). There was  
145 missing data for trauma exposure at Follow-up (n=8). MRI data was available for n=210 at  
146 Baseline, n=182 at Follow-up, and n=179 for both sessions. The project was approved by the  
147 Independent Review Board Nijmegen and was conducted in accordance with these guidelines  
148 (IRBN registration number NL48861.072.14).

149

150 **Procedure**

151 This study was part of a larger prospective study (Netherlands Trial Registry NTR6355). The  
152 procedure was similar for the Baseline and Follow-up session. During the Baseline session

153 police recruits were at the start of their police academy training without exposure to  
154 emergency aids. During the Follow-up session, police recruits had served police-related  
155 emergency aid services for approximately 8 months in which they had been exposed to  
156 traumatic events. See **Figure 1a** and **Supplement** for details.

157  
158 **Questionnaires**

159 As registered in the protocol article (38), the primary outcome measure was change in PTSD  
160 symptom severity assessed by the PTSD checklist for DSM-5 (PCL-5; 44,45). The PCL-5 was  
161 filled in based on an event that was selected as most disruptive by the recruits from The Life  
162 Events Checklist for DSM-5 (LEC-5). Participants additionally filled out the Police Life Events  
163 Scale (PLES) twice to measure police work-related trauma incidence once before and during  
164 the training period (46). See **Supplement** (and **Figure S1**) for more details.

165 The PCL baseline score, PLES baseline score, and  $\Delta$ -PLES score were log-transformed,  
166 to correct for a skewed distribution, before inclusion as covariates.

167

168 **The Go/Nogo under threat (GUNT) paradigm**

169 Participants completed the GUNT paradigm (39–41) during each session (Baseline, Follow-  
170 up). The paradigm involved 4 practice trials (not included in the analyses) and five blocks of  
171 28 trials (total 140 trials). Participants were instructed to detect whether a virtual opponent  
172 drew a gun or a phone, and to only shoot the opponent upon gun draw. They were instructed  
173 to withhold from shooting upon phone draw. There was one High Threat opponent and one  
174 Low Threat opponent (counterbalanced across participants). If participants withheld from  
175 shooting (or too late) in response to a gun draw, participant were punished by being shot by  
176 the opponent. If participants shot the opponent with a phone, the participant was punished

177 by being shot by a virtual police-officer standing in the back of the garage. In High Threat  
178 trials, being shot was associated with receiving visual feedback and aversive electric shocks.  
179 On Low Threat trials being shot was associated only with visual feedback. The duration of the  
180 response window was titrated to prevent ceiling performance. See **Supplement** for details.

181

182 **Peripheral measurements and stimulation**

183 We measured heart rate through finger pulse recordings using a pulse oximeter affixed to the  
184 ring finger of the left hand. Electrical shocks were delivered via two Ag/AgCl electrodes  
185 attached to the distal phalanges of the second and third fingers of the right hand using a  
186 MAXTENS 2000 (Bio-Protech) device. See **Supplement** for details.

187

188 **MRI statistical analyses**

189 MRI data was pre-processed in standard stereotactic (MNI152) space (using SPM12  
190 (<http://www.fil.ion.ucl.ac.uk/spm>; Welcome Department of Imaging Neuroscience, London,  
191 UK). See **Supplement** for details.

192 For statistical analysis, during the anticipation phase responses to the High Threat  
193 opponent and Low Threat opponent were modeled. During the draw phase, responses to the  
194 draw were modelled using six separate regressors for Correctly Go, Correctly No Go, and  
195 Incorrect trials, for High Threat and Low Threat trial separately. There were separate  
196 regressors for button presses and electrical shocks. Additionally, nuisance regressors were  
197 included in the model. See **Supplement** for details.

198 Single-subject contrast maps, from the first level models, of the anticipation phase  
199 and the draw phase were entered into second-level one-sample t tests. There were 3 main  
200 contrasts of interest 1) anticipation High Threat vs Low Threat, 2) draw Threat level (High

201 Threat, Low Threat) by Response (Go, NoGo) for the correct responses only, and 3) responses  
202 to electrical shocks (compared to implicit baseline). We used a cluster-forming voxel-level  
203 threshold of  $p < .001$  (uncorrected). Alpha was set at .05, whole-brain family-wise error (FWE)  
204 corrected at the cluster level using Gaussian Random Field Theory based methods (47).

205 In addition, we performed small volume correction (at the peak level  $p < .05$  FWE) on  
206 our regions of interests (ROI) including the amygdala (defined by the Anatomical Automatic  
207 Labelling; AAL) and PAG (defined by manual segmentation of a previous study: (48)).  
208 Additionally, although we did not make specific predictions concerning the role of amygdala  
209 subregions due the lack of relevant previous human literature, we opted to report the  
210 location of reported amygdala activations relative to known cytoarchitectonic subregions of  
211 the amygdala using the SPM anatomy toolbox (49) following previous literature (50). While  
212 the aPFC was previously found to be associated with trauma resilience (17) the current  
213 paradigm lacked an explicit emotion regulation component and therefore the aPFC was not  
214 specifically investigated here.

215 For the prediction analysis,  $\Delta$ PCL (follow-up minus baseline), log-transformed PCL  
216 baseline score, log-transformed PLES baseline score and log-transformed  $\Delta$ PLES score were  
217 included as covariates of interest to the second level model. Please note that in the model  
218 predictor and outcome variables are reversed to allow voxel-wise modelling with all  
219 appropriate covariates. While counterintuitive, the correlational nature of these analyses  
220 renders the temporal order of events (where neural activity preceded the change in  
221 symptoms) irrelevant for the outcome of the statistical tests employed.

222 To test the acquired effects of PTSD symptomatology on activation, single-subject  
223 contrast maps (Baseline versus Follow-up) of the anticipation phase and the draw phase were  
224 entered into second-level one-sample t tests.  $\Delta$ PCL (follow-up minus baseline), log-

225 transformed PCL baseline score, log-transformed PLES baseline score and log-transformed  
226  $\Delta$ PLES score were included as covariates of interest.

227

228 **MRI data - functional connectivity**

229 As follow-up on the predictive activation findings, we conducted a psychophysiological  
230 interaction (PPI) analysis with the amygdala (defined by the bilateral amygdala AAL mask) as  
231 a seed for the High Threat versus Low Threat anticipation contrast. See **Supplement** for  
232 details.

233

234

235 **Results**

236 **Trauma exposure and symptom development**

237 Police recruits experienced a greater number of traumatic events between Baseline and  
238 follow-up compared to all traumatic events experienced before in their life [Baseline:  $M=1.74$ ,  
239  $SD=2.24$ ; Follow-up:  $M=6.67$ ,  $SD=3.42$ ], indicating an increase in trauma load [ $\Delta$ PLES versus  
240 PLES<sub>baseline</sub>;  $F(1, 212) = 440.62$ ,  $p < .001$ ,  $\eta^2 = .68$ , 95% CI ( 0.62, 0.72)]. Mean PTSD symptom  
241 severity showed a small but significant rise following this increase in trauma load [ $M=6.37$ ,  
242  $SD=8.47$ ] compared to Baseline [ $M=5.14$ ,  $SD=6.18$ ;  $F(1, 220) = 4.70$ ,  $p = .031$ ,  $\eta^2 = .02$ , 95% CI  
243 (-0.01, 0.05)] and there was substantial variation in PTSD symptom change. Moreover, the  
244 number of traumatic events experienced between Baseline and Follow-up ( $\Delta$ PLES) correlated  
245 positively with PTSD symptom increase [ $r(211)=0.16$ ,  $p=0.02$ , 95% CI (0.03, 0.3)]. See **Figure**  
246 **1.**

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253 **Figure 1** – A) *Timeline of the study. Between Baseline and Follow-up, police officers gained*  
 254 *experience in emergency aid (two periods) as part of their training, encountering potentially*  
 255 *trauma-related events. B) PTSD symptoms on the PCL checklist (PCL-5) for the Baseline and*  
 256 *Follow-up measurement. Colors of the lines indicate individual differences in symptom change.*  
 257 *C) Positive correlation between number of traumatic events experienced between Baseline*  
 258 *and Follow-up ( $\Delta$ PLES) and PTSD symptom change ( $\Delta$ PCL-5) from Baseline to Follow-up. The*  
 259 *colors indicate the relative change in PTSD symptoms with regards to the traumatic events*  
 260 *experienced. Red dots above the regression line indicate more vulnerability and blue dots*  
 261 *below the regression line indicate less vulnerability. \*  $p < .05$*

262  
263  
264265 **Threat-related performance and cardiac changes**

266 We replicated typical GUNT effects on both behaviour and heart rate responses (40). See

267 **Figure 2b-c** and **Supplement** for all statistical analyses.268  
269

270 **Figure 2** – *Experimental paradigm and main effects: A) In the Go/NoGo under threat*  
 271 *paradigm, participants were presented with an avatar (High threat or Low threat) for a*  
 272 *variable time (80% of trials 6000-6500 ms, 10% of trials 500-1500, 10% of trials 1500-6000)*  
 273 *after which the avatar either drew a gun or a phone. Upon gun draw participants were*  
 274 *required to shoot (make a go action) or withhold from shooting (no go action). In the High*  
 275 *threat condition, if participants made an incorrect decision, they would receive an electrical*  
 276 *shock to the fingers. B) On average participants responded faster on High threat trials*  
 277 *compared to Low threat trials and made more Go responses under High threat resulting in*  
 278 *higher accuracy on Go trials and lower accuracy on No Go trials. See **Supplement** for statistical*  
 279 *analyses. C) Average cardiac response across participants during the full trial time-locked to*  
 280 *the cue onset during Baseline and Follow-up (upper two panels). Participants showed threat-*  
 281 *related bradycardia (High threat versus Low threat) during anticipation. And trial time-locked*  
 282 *to the draw onset (lower two panels). Participants showed heart rate increase for Go versus*  
 283 *NoGo trials. This increase was stronger during High threat compared to Low threat. See*  
 284 ***Supplement** for statistical analyses. D) BOLD-fMRI response patterns for the contrast High*  
 285 *Threat versus Low threat during anticipation of the gun draw (left panel) and for the contrast*  
 286 *Shock versus implicit baseline (right panel). For visualization purposes a threshold of  $p < 0.001$*   
 287 *uncorrected was used. \*  $p < .05$*

288  
289  
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291 **Amygdala BOLD-fMRI response and connectivity patterns during threat anticipation predict**

292 **later symptom development**

293 *Prediction:* During anticipation, increased activation in the left amygdala (High Threat  
 294 compared to Low Threat) at Baseline [xyz = -18, -2, -14, peak voxel z=3.42, p=.018 FWE-SVC]  
 295 was associated with a subsequent increase in PTSD symptoms at follow-up ( $\Delta$ PCL), while  
 296 correcting for baseline symptom severity (baseline PCL) and trauma exposure history  
 297 (baseline PLES and  $\Delta$ PLES; see Figure 3). Increased activation in the left amygdala [xyz = -18, -  
 298 2, -14, peak voxel z=3.34, p=.022 FWE-SVC] predicting subsequent increase in PTSD symptoms  
 299 was also found when only the  $\Delta$ PCL variable was included as a covariate, mitigating the chance  
 300 on confounds related to multicollinearity. Follow-up exploration revealed the activation is  
 301 centered in more dorsal areas in the basal forebrain and centromedial amygdala [ $P_{\text{excess}} \text{ BF} =$   
 302 1.57, centromedial amygdala (CMA) = .37]. No significant association was present within the  
 303 PAG.

304 Given that this result was of central interest, we performed additional functional  
 305 connectivity analyses with the bilateral amygdala as a seed. They revealed that amygdala-  
 306 precuneus coupling [cluster size = 1088 mm<sup>3</sup>, cluster p=.006, FWE-corrected] was positively  
 307 associated with this increase in PTSD symptoms at Follow-up ( $\Delta$ PCL) during threat anticipation  
 308 [High threat > Low threat], while correcting for baseline symptom severity (baseline PCL) and  
 309 trauma exposure history (baseline PLES and  $\Delta$ PLES).

310 In response to the draw (Threat by Response interaction), no significant associations  
 311 with increased PTSD symptoms at Follow-up ( $\Delta$ PCL) were present across the whole brain nor  
 312 our ROIs (i.e. amygdala and PAG).

313 These results together indicate that increased threat reactivity of the amygdala and  
 314 the amygdala-precuneus circuit may serve as a prospective vulnerability marker for the  
 315 development of PTSD symptoms. See **Figure 3a**.

316  
 317 **Acquired changes in BOLD-fMRI response patterns related to traumatic events and**  
 318 **symptoms.**

319 *After Trauma exposure:* Finally, we assessed which changes in neural activity were  
 320 associated with trauma exposure and PTSD symptom development. The change in BOLD  
 321 responses during threat anticipation (High threat vs Low threat) and draw (Threat by  
 322 Response interaction) from Baseline to Follow-up were not significantly related to trauma  
 323 exposure ( $\Delta$ PLES) nor the increase in PTSD symptoms at Follow-up ( $\Delta$ PCL).

324 Individuals with more trauma exposure ( $\Delta$ PLES) showed a relative reduction in the  
 325 right amygdala responses to the aversive stimulus (i.e. electrical shock) [ $xyz = 36, 2, -24$ , peak  
 326 voxel  $z=3.12$ ,  $p=.048$ , FWE-SVC] relative to the Baseline session. This activation centered in  
 327 the basolateral amygdala [ $P_{\text{excess}} \text{ basolateral amygdala (BLA)} = 1.06$ ]. Follow-up analyses  
 328 revealed no impact of trauma load at Baseline while during the Follow-up session those with  
 329 more trauma exposure ( $\Delta$ PLES) showed an increase in amygdala responses [ $xyz = 30, 2, -24$ ,  
 330 peak voxel  $z=3.63$ ,  $p=.006$ , FWE-SVC]. This activation centered in the basolateral amygdala  
 331 [ $P_{\text{excess}} \text{ BLA} = 1.03$ ]. No significant association was present within the PAG. These findings  
 332 further suggest altered amygdala activation patterns in response to aversive stimuli following  
 333 trauma exposure (independent of symptom changes).

334  
 335  
 336  
 337 **Figure 3 – A) Relatively high amygdala activation during High threat (versus Low threat)**  
 338 **anticipation at Baseline, prospectively predicts later symptom development. B) Increased**  
 339 **amygdala responses to the aversive stimulus following trauma exposure (Follow-up session).**  
 340 **For visualization purposes only, a threshold of  $p < 0.005$  uncorrected was used.**

341 **Discussion**

342 This prospective longitudinal study shows that dorsal amygdala hyperresponsivity during  
 343 threat anticipation is associated with increased vulnerability for developing PTSD symptoms.  
 344 In a sample of newly selected symptom-free police recruits at high risk for trauma exposure,  
 345 we could disentangle predictive and acquired effects of PTSD symptoms during threat  
 346 anticipation and responsivity. Recruits with stronger pre-trauma dorsal amygdala responses  
 347 during threat anticipation demonstrated relatively stronger increase in PTSD symptoms after  
 348 trauma exposure, while controlling for trauma load. In addition, stronger amygdala-  
 349 precuneus coupling was similarly associated with a stronger increase in PTSD symptoms after  
 350 trauma. Regarding acquired effects, the experience of traumatic events, independent of PTSD  
 351 symptoms, was associated with increased lateral amygdala activation in response to the  
 352 aversive stimulus (i.e. electrical shock). Thus, when disentangling prediction from acquired  
 353 associations, we found evidence that distinct amygdala subregions may be implicated in the  
 354 cause and consequence of PTSD symptoms. We hereby extend previous prospective studies  
 355 by showing these findings in a well-powered sample, an active (compared to passive) threat  
 356 paradigm, and provide more specificity regarding the role of amygdala subregions.

357 Our findings are consistent with theoretical models postulating that a hyperreactive  
 358 salience network, including amygdala reactivity and connectivity, is a predictor for PTSD  
 359 development (19,51). Early studies pointed out that regions crucially involved in threat  
 360 processing are altered in individuals with PTSD (15,31,see for a meta-analysis 52,53).  
 361 Similarly, peri-trauma studies with participants that were recruited from the emergency  
 362 department and who were scanned 1-month post-trauma, have found amygdala reactivity to  
 363 negative emotional stimuli to be correlated with PTSD symptoms months later (30). However,  
 364 post-trauma studies indicated altered amygdala reactivity (15) that possibly normalizes over

365 time (54). This finding suggests amygdala hyperactivity could be a consequence of trauma  
366 exposure, rather than a predisposing factor.

367 Our finding of increased dorsal amygdala responsivity to threat predicting PTSD  
368 symptoms is in line with early prospective neuroimaging studies with considerably smaller  
369 sample sizes (10,13,16 all n <40). Our study extends these prospective studies in several ways.  
370 First, our sample allows for individual difference analyses. We could verify that the amygdala  
371 reactivity was correlated with PTSD symptoms dimensionally rather than only increasing in  
372 those participants with core symptoms at the high extreme of the spectrum. Second, the  
373 population of previous studies were combat paramedics or victims of a terroristic attack. Our  
374 findings show that such earlier findings generalize to a broader population that are  
375 confronted with more heterogenous daily adversity (including e.g. traffic accidents, physical  
376 assault, death and illness), see (17,33). Note that within our sample a small minority of cases  
377 met full-blown PTSD criteria (1-5 % depending on the criteria applied) but covers a range of  
378 PTSD symptom levels. Third, we used an active coping paradigm under threat of shock.  
379 Previous paradigms involved passive amygdala reactivity to salient or facial stimuli. Our  
380 results show that these findings thus generalize to different contexts and different levels or  
381 types of threat. In our paradigm, participants had to make accurate decisions to minimize the  
382 risk of receiving an electrical shock. Forth, in our analyses we controlled for trauma load and  
383 thereby take into account the PTSD symptom increase relative to the individual deviation  
384 from the study sample's normative relationship between adversity and symptoms (e.g. the  
385 regression residual), similar to previous studies focusing on resiliency (e.g. 55). Finally, our  
386 results highlight that activation of distinct amygdala subregions may contribute to  
387 vulnerability for developing PTSD symptoms while disentangling predictive from acquired  
388 consequences.

389 How do our findings inform theoretical models regarding the role of the amygdala in  
390 PTSD vulnerability?

391 The most consistent functional abnormality in human PTSD studies is a hyperactive  
392 amygdala in response to emotional or trauma stimuli (19). Theoretical models on the  
393 amygdala have stated that the amygdala is crucial for threat detection and cardiac and  
394 behavioural threat responses (24). Enhanced amygdala reactivity is therefore thought to  
395 contribute to hyperarousal symptoms in PTSD (30) and to impairments in top-down emotion  
396 regulation (17,52) or extinction (56).

397 Specifically, we observed enhanced amygdala activations during threat anticipation  
398 predicting later PTSD symptoms in the more dorsal part of the amygdala (centromedial: CM)  
399 extending into the basal forebrain. Please note we also observed general amygdala  
400 deactivation during threat anticipation as observed previously (57,58), but the location of that  
401 cluster is more ventral and does not overlap with the location of this prediction finding.  
402 Within the amygdala, the basal forebrain forms the bridge from the CM to the bed nucleus of  
403 the stria terminalis (BNST), and includes projections to the cortex (59). Due to its dense  
404 population of magnocellular and cholinergic neurons, the basal forebrain is seen as the main  
405 regulator of cholinergic output and cortical activation. The basal forebrain is associated with  
406 the control of vigilance, arousal and memory processes (60,61). Comparison between  
407 subregions of the amygdala using BOLD-fMRI is inherently difficult because of signal loss and  
408 distortion due to magnetic field inhomogeneity increases from dorsal to ventral parts of the  
409 amygdala (62,63). However, our results show activations in different subregions at different  
410 moments during threat processing and thus rule out that signal dropout prevented us from  
411 acquiring data from the basolateral amygdala (BLA) and centromedial amygdala (CMA).

412 Enhanced connectivity between the amygdala and precuneus also predicted later  
413 PTSD symptoms. The precuneus is implicated in the integration of external and self-  
414 referential information and has been associated with motor imagery (indexing motor  
415 intentions) and processing of visuo-spatial aspects during action preparation (64,65). As a  
416 central hub of the default mode network, the precuneus is typically not included in the threat-  
417 network or in models of PTSD. However, a growing literature supports its role in the context  
418 of PTSD risk and resilience (e.g. see 51) and amygdala-precuneus connectivity has been  
419 implicated with stress-related affect processing (33,66). Amygdala-precuneus connectivity  
420 during rest is also associated with reported childhood trauma in patients suffering from  
421 depression (67). Similar, in a group of adult trauma survivors, amygdala-precuneus  
422 connectivity during rest was associated with reported childhood trauma (68). Our finding that  
423 such connectivity pattern can even predict later PTSD symptom development calls for more  
424 attention to the role of the precuneus in trauma processing.

425 If enhanced amygdala activations, and amygdala-precuneus connectivity, during  
426 threat anticipation provides a neurocognitive risk marker of trauma vulnerability, then it  
427 raises the question whether prevention or training responsivity in these circuits may increase  
428 resiliency (e.g. using imagery-based interventions (69) including fMRI neurofeedback  
429 techniques). Initial neurofeedback training studies have indicated that amygdala feedback  
430 during passive viewing of aversive scenes is followed by down-regulation of later amygdala  
431 responses (70). Moreover, amygdala downregulation training using fMRI neurofeedback in  
432 PTSD patients after exposure to personalized trauma scripts was associated with increase  
433 amygdala control (71). Although this was not directly linked to improvements in symptom  
434 scores it may suggest a potential clinical application of neurofeedback in PTSD treatment.  
435 Another study (72) found greater PCC-amygdala connectivity in PTSD patients (compared to

436 controls) during neurofeedback regulation, while both groups showed greater PCC-precuneus  
437 connectivity, providing targets for preventive intervention.

438 Trauma-induced increase in PTSD symptoms was not related to individual differences  
439 in threat-anticipatory amygdala activation at the follow-up measurement (after trauma  
440 exposure). However, the degree in trauma exposure, but not PTSD symptom increase, was  
441 related to individual differences in amygdala reactivity to the aversive shock stimulus. Those  
442 with more trauma exposure showed enhanced lateral amygdala responses to the electrical  
443 shock. This observation is in line with a recent study showing post-trauma enhance BLA  
444 activation in response to a trauma-related context in susceptible compared to resilient  
445 animals (73). However, previous studies with PTSD patients have shown mixed findings where  
446 some found increased amygdala responses (74), while others found decreased amygdala  
447 responses to an electrical shock (75). We found that the number of experienced traumatic  
448 events, not PTSD symptoms, correlated with amygdala reactivity to the shock. This might  
449 explain differences between earlier studies and provides longitudinal evidence of a dose-  
450 response relationship between trauma and amygdala reactivity to aversive pain stimuli.

451 In conclusion, this prospective study demonstrates that enhanced dorsal amygdala  
452 activations, and increased connectivity with the precuneus, during threat anticipation predict  
453 later PTSD symptoms. These patterns may provide a neurocognitive risk marker of trauma  
454 vulnerability. While post trauma, enhanced lateral amygdala was related the number of  
455 experienced traumatic events, independent of PTSD symptoms. Therefore, activation of  
456 distinct amygdala subregions may contribute to vulnerability for developing PTSD symptoms.  
457 Increased knowledge of biomarkers predicting PTSD symptoms may be instrumental in  
458 designing future innovative training and prevention programs.

459

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471 MMH, WZ, RK, SBJK, VvA, FK, and KR designed the study. RK, WZ, and MMH carried  
472 out the data collection. LdV and MMH verified the underlying data. LdV and MMH carried out  
473 the statistical analysis and produced figures. LDV and MMH wrote the first draft of the  
474 manuscript and all authors contributed to editing and commenting on the final version.

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476

477 **Supplement Description:**

478 Supplement Methods, Results, Figures S1-S2, Tables S1-S5

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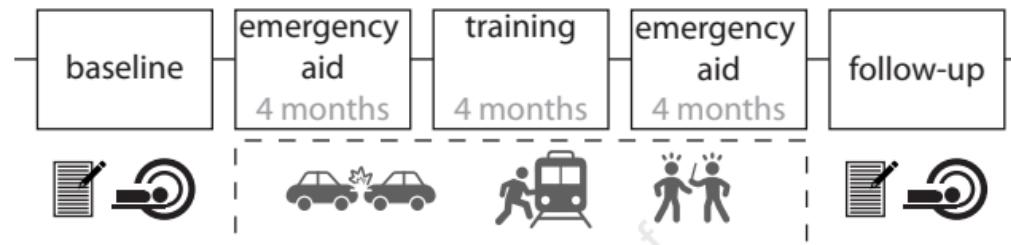
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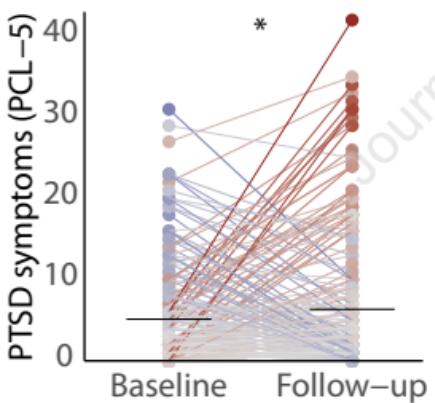
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# A) Study timeline

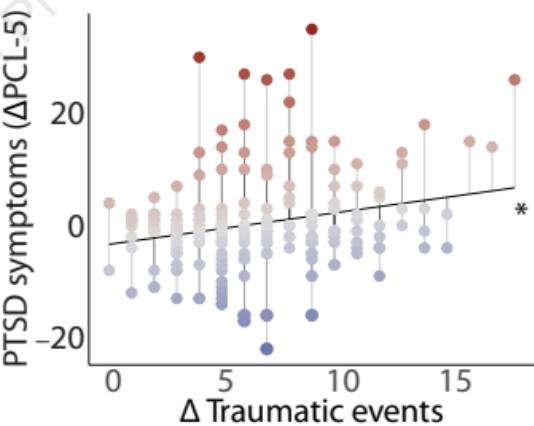
Journal Pre-proof



## B) PTSD symptoms



## C) Vulnerability



PTSD symptom change ( $\Delta$ PCL-5)

Vulnerability

# A) Experimental design

## Anticipation



1500-6000 ms

## Draw + response



+/- 500 ms: titrated

## Feedback



1500 ms

ITI

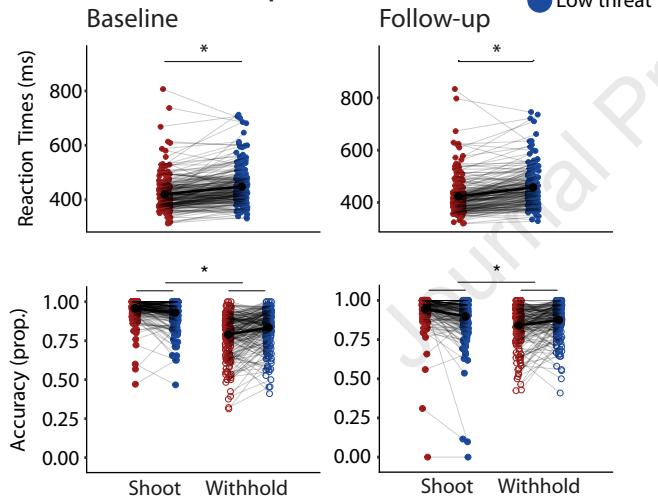
Time

SHOOT

WITHHOLD

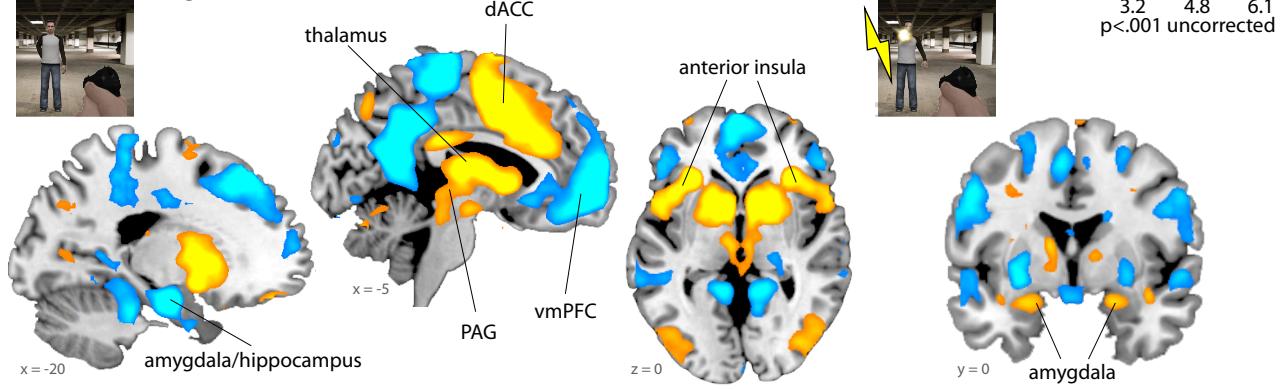


# B) Behavioral responses



# D) fMRI response patterns

## Anticipation: High threat vs Low threat

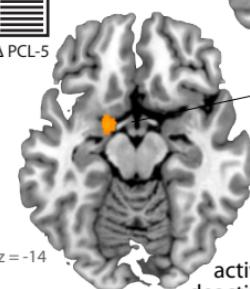


# A) PTSD symptom prediction

Anticipation: High threat vs Low threat



$y = -2$



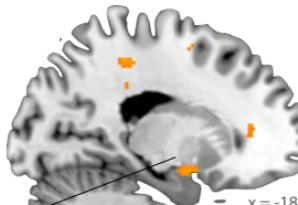
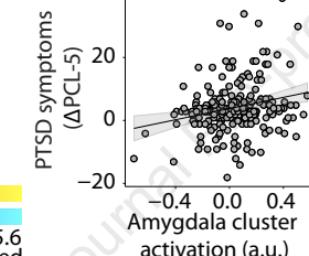
amygdala

$z = -14$

activation  
deactivation

2.6 4.0 5.6  
 $p < .005$  uncorrected

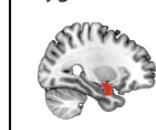
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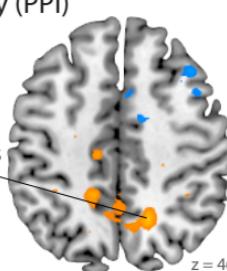
$x = -18$

## Functional connectivity (PPI)

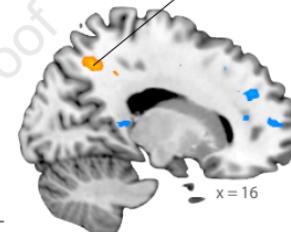
amygdala seed



precuneus



$z = 46$



$x = 16$

positive  
negative

2.6 4.0 5.6  
 $p < .005$  uncorrected

# B) Following trauma exposure

Shock

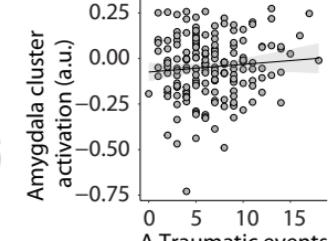


$y = 2$

$x = 30$

amygdala

Amygdala cluster  
activation (a.u.)



$z = -24$

activation  
deactivation

2.6 4.0 5.6  
 $p < .005$  uncorrected